

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ron Dembo, et al.
Appl. No : 09/811,684
Filed : March 20, 2001
Title : Risk Management System, Distributed Framework and Method
Grp./A.U. : NYA
Examiner : NYA
Docket No. : 11483-98

4/Preliminary
of May 2001

Honorable Commissioner of Patents
Washington, D.C.
20231

[This application is a continuation of
Appln. No. 09/323,680, filed June 2, 1999]

PRELIMINARY AMENDMENT

Sir:

Prior to examination on the merits, kindly amend the above-identified application as follows:

In the specification:

Please replace the first sentence of the paragraph starting at page 8, line 19, with the following:

A1
-- Figure 4 shows a possible output of the process of Figure 3, namely a distribution plot of portfolio P's monetary value versus its probability of occurring. --

In the drawings:

Please replace Figure 4 with the attached revised Figure 4.